

RF1
RF2
DE2
RE4



Challenges of Auditing Multiple Agencies

Eric Rivera/OIG

Nuclear Regulatory Commission (NRC)

Defense Nuclear Facilities Safety Board (DNFSB)

Slide 1

- RE1** **Add logos**
Rivera, Eric, 4/21/2017
- RE2** **Fix fonts**
Rivera, Eric, 4/21/2017
- RE3** **show miotla**
Rivera, Eric, 4/21/2017
- RE4** **Prepare Bio**
Rivera, Eric, 4/21/2017

Agenda

- Agencies with “Dual Agency” Inspector Generals
- History of our OIG program
- Auditing NRC
- Auditing DNFSB
- Challenges at Both Agencies
- Moving forward
- Questions/Comments

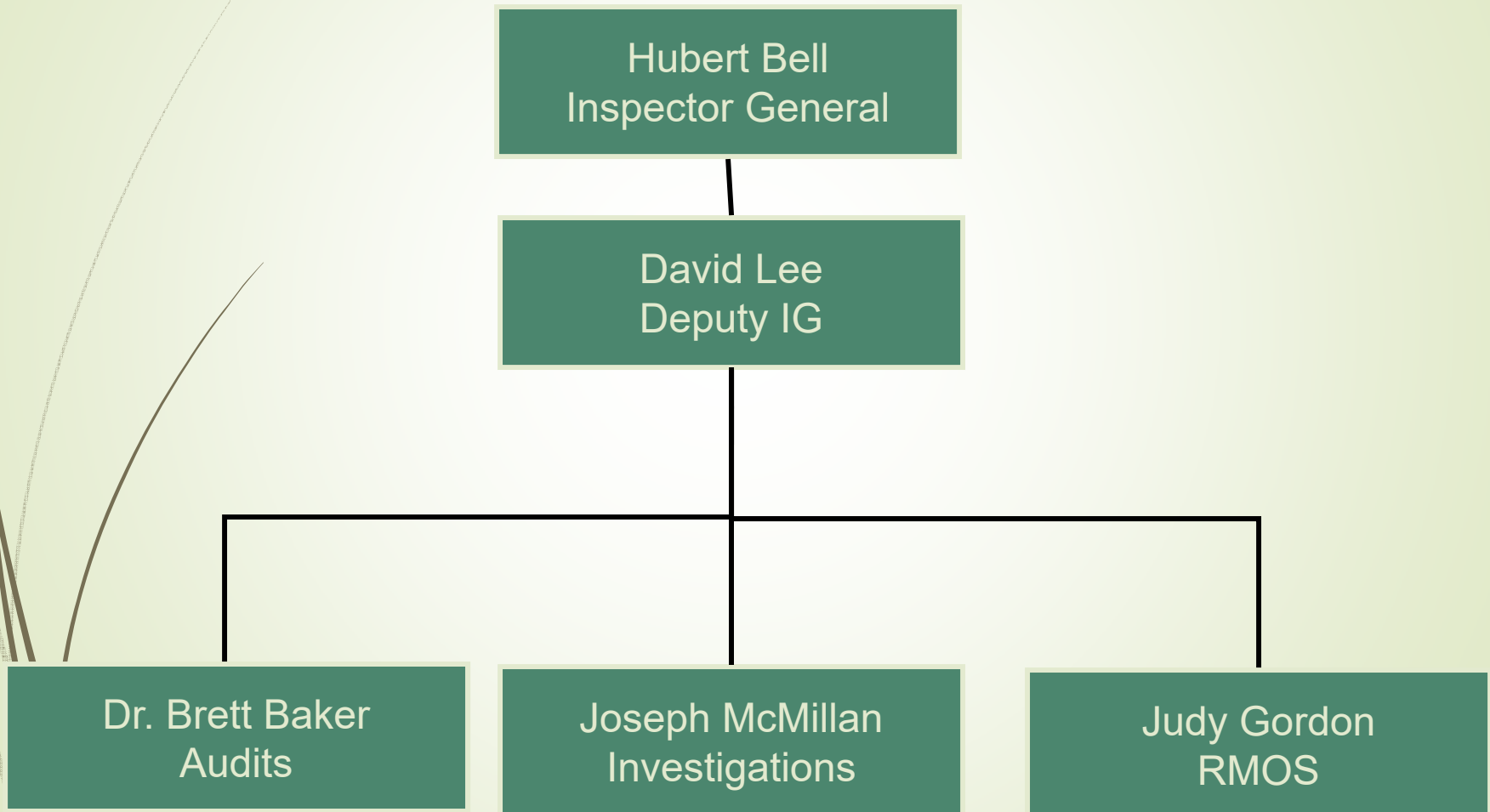
“Dual Agency” OIGs

- EPA OIG – Chemical Safety Board
- State Department OIG – Broadcasting Board of Governors
- FRB OIG - Consumer Financial Protection Bureau
- NRC OIG – Defense Nuclear Facilities Safety Board

Our OIG Organization

- Inspector General Act of 1978
- IG Act Amendments of 1988
- Hubert T. Bell (IG since 1996)

History (Continued)



Organization (Continued)

- Initial approach by Congress to audit DNFSB
- Appointed the IG of DNFSB during FY 2014
- Added additional staff to manage the new work

Auditing NRC

- Regulate commercial use of nuclear material
- 5 member commission
- Budget approximately \$970 million
- Approximately 3,400 FTE

Auditing NRC (Continued)

- OIG budget for NRC: approximately \$11 million
- Four Audit Teams

- Around 20 audit reports produced each fiscal year

- Required audits –
 - Financial Statements and Special Purpose Financials
 - DATA Act
 - Improper Payments
 - FISMA

Auditing DNFSB

- Review DOE nuclear sites, provide recommendations
- 5 member board
- Budget approximately \$30 million
- Approximately 110 FTE

Auditing DNFSB

- OIG budget for DNFSB: Approximately \$1 million
- 3 audit teams
- Around 6 reports a year
- Required audits –
 - Financial Statements
 - Data Act
 - FISMA

Challenges

NRC

- Fairly “mature” relationship with OIG
- Better understanding of the OIG program
- Several NRC offices have liaisons with OIG
- Multiple programs have been audited several times
- Declining budget/staff conditions

DNFSB

- Not a lot of experience with auditors/investigators
- Changing management structure
- New policies and procedures
- Conflicting cultures
- Increase in budget and staff

Moving Forward

- Current federal spending climate
- Maintaining collaborative relationship with NRC
- Improving relationship with DNFSB

Questions?

